

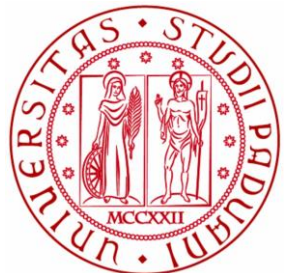
Where we are with Estimands?

IBIG forum 2019

Daniele Bottigliengo

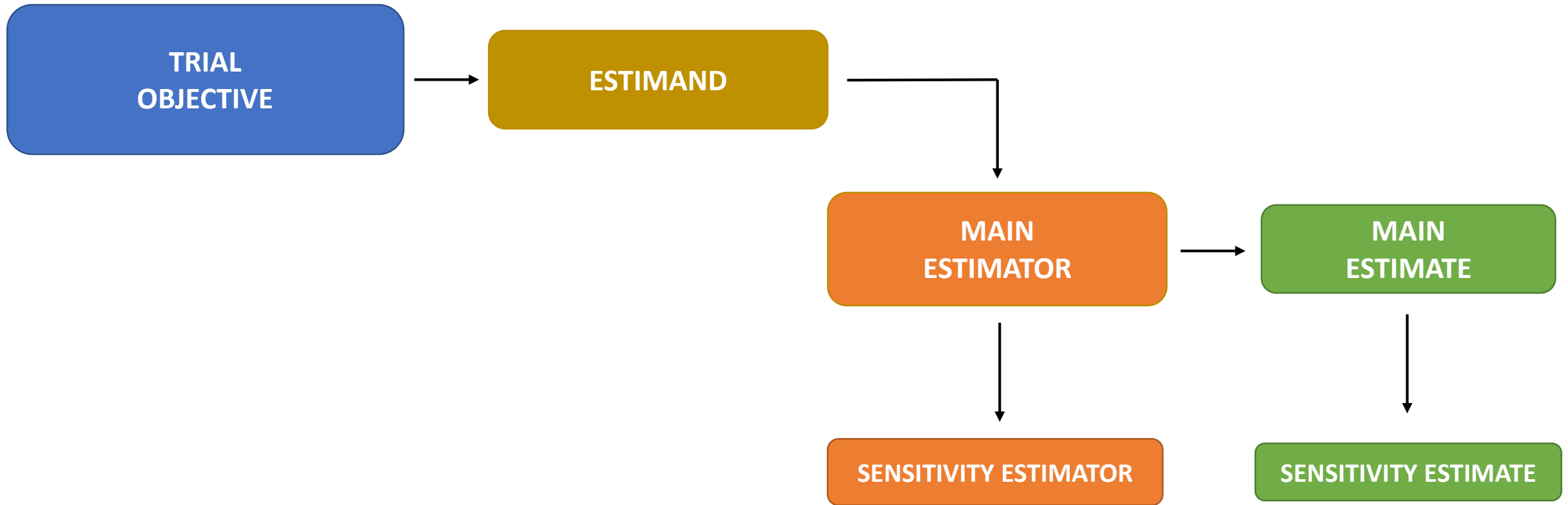
Unit of Biostatistics, Epidemiology and Public Health, Department of Cardiac, Thoracic, and Vascular Sciences
and Public Health, University of Padova, Italy

Milano, Italia – 11 Ottobre 2019



- International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use (ICH)
- E9 (R1) addendum on Estimands and Sensitivity Analysis in Clinical Trials
- Guideline on statistical principles for clinical trials
- Current thinking of the Food and Drug Administration (FDA) on the topic

PROPOSED WORKFLOW



TRIAL OBJECTIVE

- What is the scientific question that must be addressed?
- Quantify treatment effects
- Device or medication vs. placebo or control

ESTIMAND

Definition of 4 attributes:

- 1) The **population**, i.e. patients targeted by the scientific question
- 2) The **variable** to be obtained to address the scientific question
- 3) How to account for **intercurrent events**
- 4) The **summary statistics** that quantifies the treatment effect

- ***Treatment policy strategy***: occurrence of the intercurrent events is ignored
- ***Composite strategy***: the intercurrent event is integrated with the measures of clinical outcome as the variable of interest
- ***Hypothetical strategy***: definition of a scenario in which the intercurrent event would not occur
- ***Principal stratum strategy***: target population as the subjects that would not experience the intercurrent event
- ***While on treatment strategy***: consider the value of a variable prior to the occurrence of the intercurrent event

- The choice of the estimand must be reflected in the design of the trial
 - Example: if the occurrence of an intercurrent event is not of interest, the values of the variable are collected regardless of the occurrence of the intercurrent event
- Sample size calculation should be implemented accounting for the strategies adopted to handle intercurrent events
- Multiple objectives with multiple estimands → Multiplicity issues

MAIN ESTIMATION

- Estimands expressed through analytic approaches
- Provide an interpretable estimation
- Clearly definition of the assumptions, which should be justifiable

SENSITIVITY ANALYSIS

- Evaluate the robustness of the estimand
 - How results change when different imputation strategies are considered for missing data
- Investigate how the estimates change if departures from the assumptions are encountered
- Change one assumption at a time

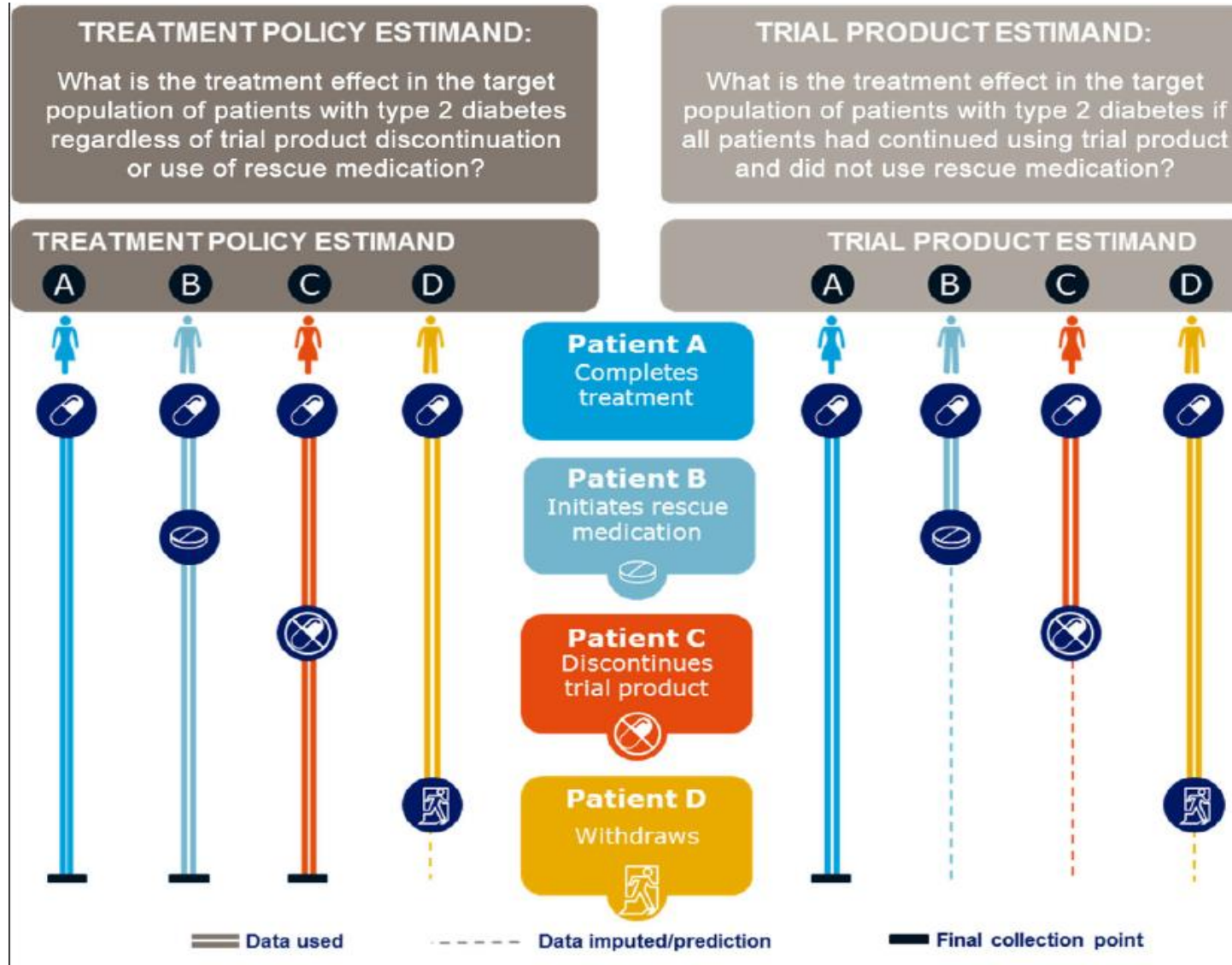


PIONEER 1: Randomized Clinical Trial of the Efficacy and Safety of Oral Semaglutide Monotherapy in Comparison With Placebo in Patients With Type 2 Diabetes

*Vanita R. Aroda,^{1,2} Julio Rosenstock,³ Yasuo Terauchi,⁴ Yuksel Altuntas,⁵ Nebojsa M. Lalic,⁶ Enrique C. Morales Villegas,⁷ Ole K. Jeppesen,⁸ Erik Christiansen,⁸ Christin L. Hertz,⁸ and Martin Haluzik,⁹ for the PIONEER 1 Investigators**

Diabetes Care 2019;42:1724–1732 | <https://doi.org/10.2337/dc19-0749>

PIONEER 1 RCT: DEFINITION OF ESTIMANDS



- **Treatment policy estimand**: *mean difference between oral semaglutide and placebo in change from baseline to Week 26 in HbA1c and body weight in patients with type 2 diabetes, regardless of trial product discontinuation and/or addition of rescue medication.*
- **Trial product estimand**: *mean difference between oral semaglutide and placebo in change from baseline to Week 26 in HbA1c and body weights in subjects with type 2 diabetes if all patients had continued to use trial product for the entire planned duration and did not use rescue medication.*

Treatment policy estimand:

- *Pattern mixture model, using multiple imputation to handle missing data from Week 26 for both endpoints (imputation undertaken within groups)*
- *The aim is to assess the efficacy of the product*

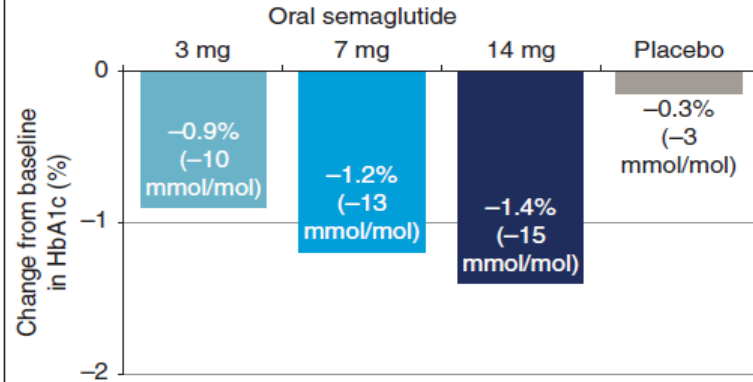
Trial product estimand:

- *Mixed model for repeated measures with treatment, region and baseline value as fixed effects and visit as random effects, using multiple imputation to handle missing data (imputation undertaken within groups)*
- *The aim is to assess the anticipated effect of the trial product*

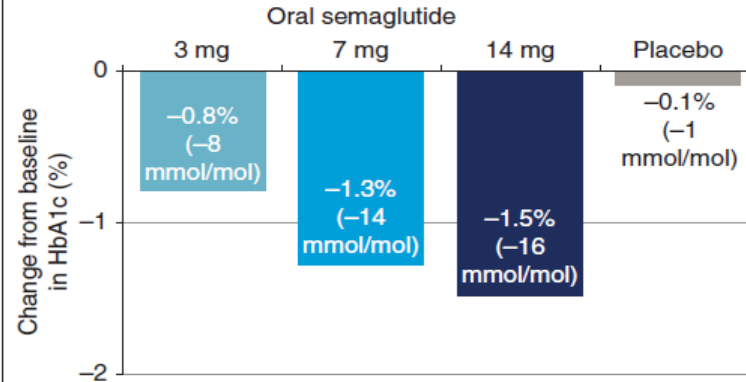
PIONEER RCT 1: RESULTS



(A)
HbA1c

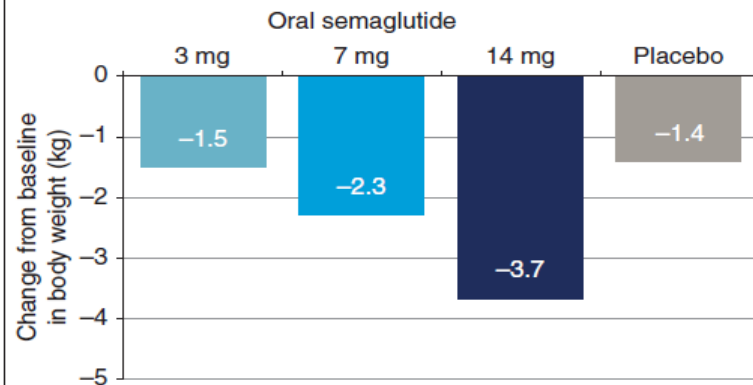


ETD [95%CI]: %; mmol/mol; *P* value
 Oral semaglutide 3 mg vs placebo: -0.6 [-0.8 to -0.4]%; -6 [-9 to -4] mmol/mol; *P*<0.001
 Oral semaglutide 7 mg vs placebo: -0.9 [-1.1 to -0.6]%; -9 [-12 to -7] mmol/mol; *P*<0.001
 Oral semaglutide 14 mg vs placebo: -1.1 [-1.3 to -0.9]%; -12 [-15 to -9] mmol/mol; *P*<0.001

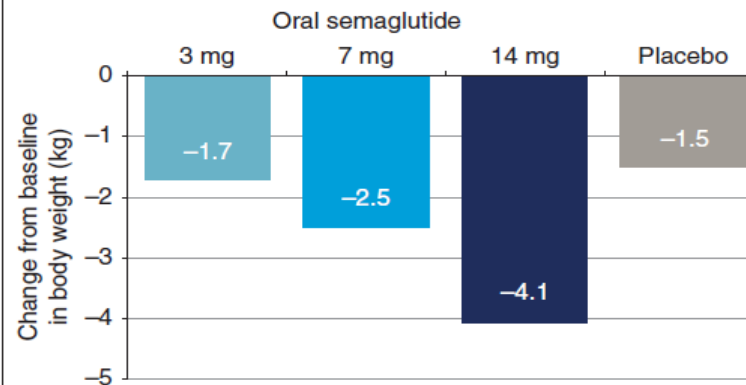


ETD [95%CI]: %; mmol/mol; *P* value
 Oral semaglutide 3 mg vs placebo: -0.7 [-0.9 to -0.5]%; -7 [-10 to -5] mmol/mol; *P*<0.001
 Oral semaglutide 7 mg vs placebo: -1.2 [-1.5 to -1.0]%; -14 [-16 to -11] mmol/mol; *P*<0.001
 Oral semaglutide 14 mg vs placebo: -1.4 [-1.7 to -1.2]%; -16 [-18 to -13] mmol/mol; *P*<0.001

(B)
Body weight



ETD [95%CI]: kg; *P* value
 Oral semaglutide 3 mg vs placebo: -0.1 [-0.9 to 0.8] kg; *P*=0.87
 Oral semaglutide 7 mg vs placebo: -0.9 [-1.9 to 0.1] kg; *P*=0.09
 Oral semaglutide 14 mg vs placebo: -2.3 [-3.1 to -1.5] kg; *P*<0.001



ETD [95%CI]: kg; *P* value
 Oral semaglutide 3 mg vs placebo: -0.2 [-1.0 to 0.6] kg; *P*=0.71
 Oral semaglutide 7 mg vs placebo: -1.0 [-1.8 to -0.2] kg; *P*=0.01
 Oral semaglutide 14 mg vs placebo: -2.6 [-3.4 to -1.8] kg; *P*<0.001

- ICH E9 (R1) addendum describes a general framework and a concept of estimand
- More estimands allow the evaluation of treatment effect from different perspectives
- Intercurrent events should always be clearly included in the estimands of interest
- Sensitivity analyses are fundamental to test the robustness of the estimates



Thank you!